

## Pershing Asset Migration

Spring, 2010

### **Re: Your Mutual Fund Assets held through Fiduciary Trust Company of New Hampshire**

Dear Client,

Legend Equities Corporation (The Legend Group) values your business and is committed to providing you with expanded products, services and expertise. As a part of this commitment, we are pleased to announce a new strategic initiative in cooperation with Pershing LLC (Pershing). Pershing, our chosen clearing agent, handles certain record keeping and operational services on our behalf, such as trade executions, settlement and custody of securities and cash balances; and, as such, is an important part of the services that we provide to you.

For your accounts with Fiduciary Trust Company (FTC), this initiative enables us to facilitate the transfer of mutual fund positions that are currently held directly with a mutual fund company to a brokerage account, thus enhancing the services we are able to deliver to you into the future. Rest assured, this does not affect your underlying investments and no action on your part will be required. Further, you will not be charged any fees for the transfer of your account(s) and your Financial Advisor, will continue to provide service for your account.

The ability to consolidate your mutual fund shares with FTC into a brokerage account will provide you with all of the products and services that you currently enjoy, plus some valuable enhancements, including:

- An easy-to-read brokerage account statement for each account
- Comprehensive year-end reports with gain/loss summaries on all positions that you hold in each of your brokerage accounts
- One point-of-contact, allowing for more timely and efficient changes or updates to your account
- Increased investment opportunities through new product offerings
- Consistent, high-level service from your investment professional

In the coming weeks, we will send additional notification(s) as we prepare for this transition. Please feel free to contact your Financial Advisor, if you have any questions about this valuable initiative. We appreciate your business and look forward to continuing to service your investment needs.

Sincerely,

Mark J. Spinello  
Chief Executive Officer  
The Legend Group of Companies