

1 ADDRESS and 2 NAME CHANGE AUTHORIZATION

1 ADDRESS CHANGE – Complete form or go to www.legendgroup.com to update.

Participant/Client _____
Name Social Security or Tax ID No.

Daytime Phone _____ Evening Phone _____ E-mail Address _____

Address on file _____
(Before change) Address City State Zip + 4

New Mailing _____
Address or *PO Box City State Zip + 4

**If your mailing address is a PO Box, by law we are required to have a residence address on file. Provide below.*

Residence _____
Address City State Zip + 4

The new address applies to: ****Beneficiary addresses will be updated only if Legend is the Custodian.**

Participant/Client Spouse/Joint _____
Name SS# or Tax ID No.

Other Acct. _____
(529, UGMA, Trust, etc.) SS# or Tax ID No. SS# or Tax ID No. SS# or Tax ID No.

Beneficiary addresses will be updated only if Legend is the Custodian.

**Beneficiary(ies) _____
Name Name Name

➔ Participant Signature required _____ Date: _____

➔ Spouse/Joint Signature, if applicable _____ Date: _____

2 NAME CHANGE AUTHORIZATION – Complete this section and a COPY OF A COURT DOCUMENT authorizing the name change is required. Do not send original. Examples: marriage license, divorce order.

The following name change applies to: Participant - Soc. Sec. or Tax ID: _____

Spouse/Joint - Soc. Sec. or Tax ID: _____

Reason for name change: Marriage Divorce Other - _____

Print previous name _____

Print new name _____

Previous signature **X** _____

New signature **X** _____

Date: _____

3 To make additional beneficiary changes, visit legendgroup.com and print Beneficiary Change Authorization (CUS006) or contact your Legend Advisor. Forms must be sent to the Custodian on the account.

Mail to: The Legend Group, Operations Support, PO Box 32427, Palm Beach Gardens, FL 33420-9974
Fax to: 561-537-4380 Visit: www.legendgroup.com Questions: Operations Support at 561-694-0110

For Legend Use Only – Operations Support

Processor and date _____ Signature: OK None No PW Rep Addr: OK

Principal _____ Rep License: OK 812 Notes: _____